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Development of a Loxapine Nasal Powder:
Leveraging a 505(b)(2) Regulatory Pathway
and PK Bridging to Enable At-Home
Prevention of Acute Agitation

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September 2025

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Delivering solutions,
shaping the future.

Aptar
pharma



NASAL
INNOVATION
FORUM

Acute Agitation



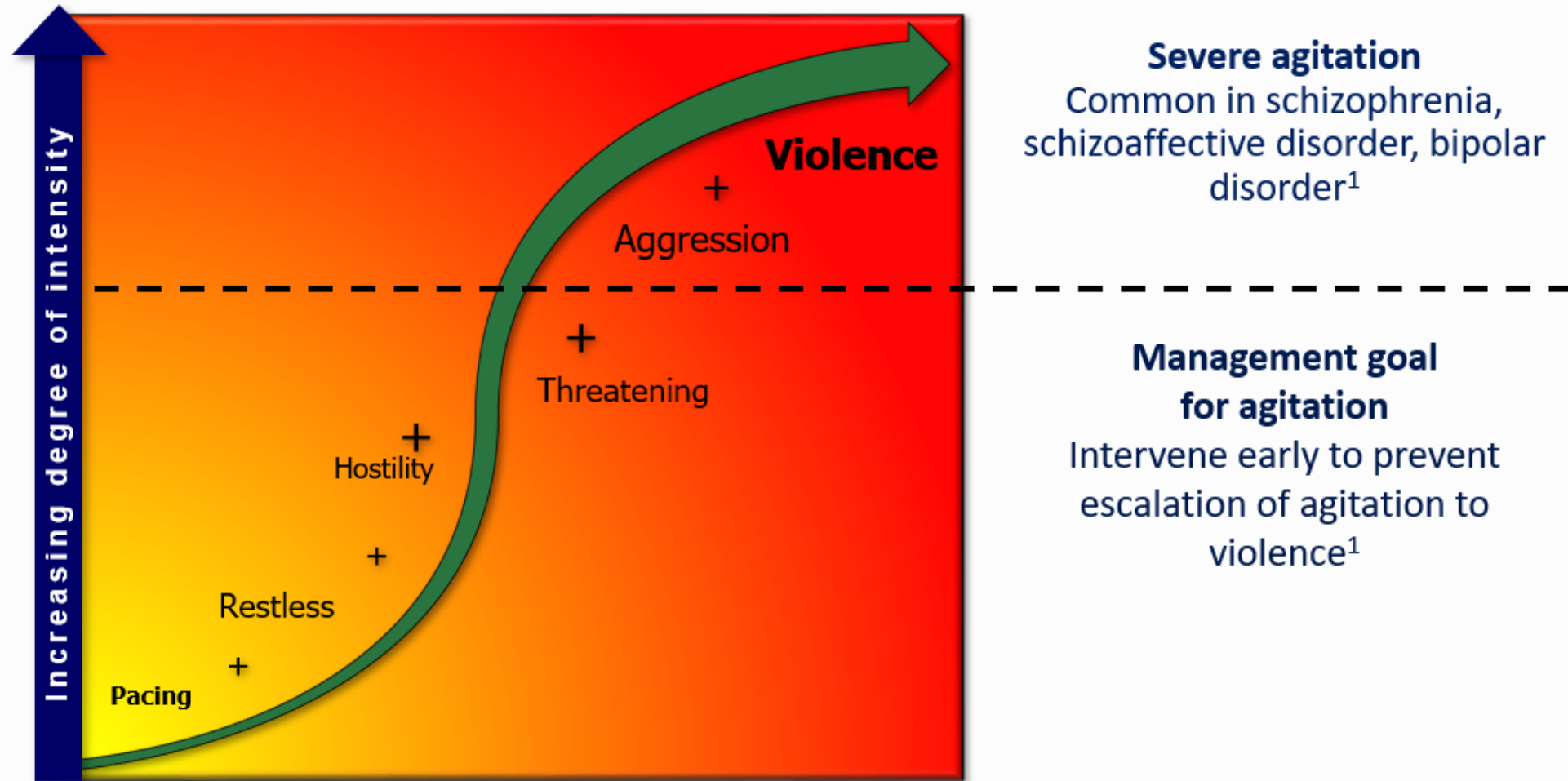
Acute Agitation

- Acute Agitation has multiple and varied definitions¹
 - DSM-IV* defines psychomotor agitation as excessive motor activity associated with a feeling of inner tension²
- Common component of psychiatric disorders such as bipolar disorder and schizophrenia¹
 - Bipolar disorder
 - Agitation is a *DSM-IV* diagnostic criterion
 - Frequently observed in manic states
 - Schizophrenia
 - Associated with increased risk of aggression
 - Aggression is more common in male patients, those with disorganized schizophrenia subtype, and those with psychosis including delusions and disorganized thinking

1. Sachs GS. *J Clin Psychiatry*. 2006;67(suppl 10):5-12.

2. American Psychiatric Association. *DSM-IV-TR*. Arlington, VA: APA; 2000.

Agitation Intensification Escalation to Violence



1. Battaglia J. *Drugs*. 2005;65:1207-1222. Rossi J, Swan MC. *Emerg Med Clin N Am*. 2010;28:235-256.

Acute Agitation Segments

Acute Agitation Market

Out-Patient Segment

- At home setting – majority of episodes
- No medication for AA / high unmet need
- ER and police are common options
- AA can lead to catastrophic outcomes

In-Patient Segment

- Hospitals and in-patient psychiatric facilities encounter AA routinely
- Low-cost IM treatment options available
- Sedation and restraints can be difficult to use
- Cost controls are key priorities

Out-Patient value proposition appears very strong:

- Prevent mental health encounter with police, potential for harm and jail
- Avert ER visit and potential hospitalization / minimize law enforcement involvement
- Provides first and only “agitation rescue” treatment option

Adasuve STACCATO (Loxapine)

Approved Jan 2012 / Launched Mar 2014

BIGALMI™ (dexmedetomidine) sublingual film

April 2022

Home Based Treatment of Acute Agitation is a Game Changer

- Product A Reaction:

“Adasuve w/o REMS you got a winner”

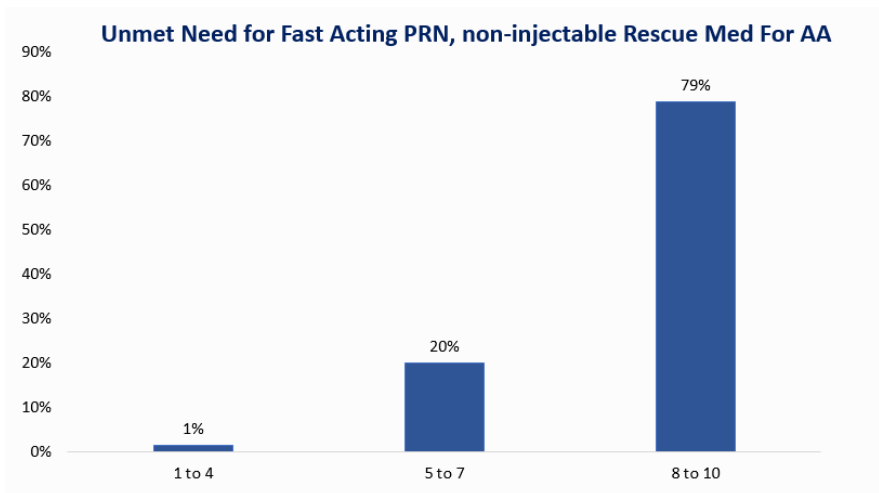
“OUTSTANDING”

“I see as something that should be in everyone’s medicine cabinet”

- Loxapine is a good choice for AA
 - Has long history of AA treatment
 - Approved use in Adasuve is an advantage
 - 1st gen anti-psych but most like 2nd gen
 - Episodic use should limit any possible 1st gen AE's
 - As good as any medication for this indication (olanzapine & ziprasidone)
- If a patient asks for this medication, they will get it

National Quantitative Market on Acute Agitation:

- On-line internet survey fielded June 2021
 - 75 Board Certified Psychiatrist in USA
 - Managing and treating Bi-polar and Schizophrenia patients
 - 80% avg. time spent in Out-Patient setting
 - 102 patients with Bi-polar and Schizophrenia seen / month
 - 21 avg. years in practices



Initial Reaction is Very Favorable for Product A

| Negative | | Neutral | | Positive | |
|----------|--|---------|---------------------------------|----------|------------------------|
| Count | Verbatim | Count | Verbatim | Count | Verbatim |
| 1 | Expensive | 15 | Interesting | 9 | Needed |
| 1 | Not sure if it is different then PO already in-use | 1 | Wait to see how it works & cost | 9 | Promising or Positive |
| 1 | Skeptical | 1 | Copay | 7 | Great |
| 1 | Useless | 1 | New | 6 | Helpful or Useful |
| 1 | Unnecessary | 1 | Can it be abused? | 4 | Impressive or Valuable |
| | | | | 3 | Awesome |
| | | | | 3 | Breakthrough |
| | | | | 3 | WOW! Most needed |
| | | | | 2 | Excellent Much Needed |
| | | | | 1 | Convenient |
| | | | | 1 | Game Changer |

Overall conclusion: market research indicates a very significant opportunity

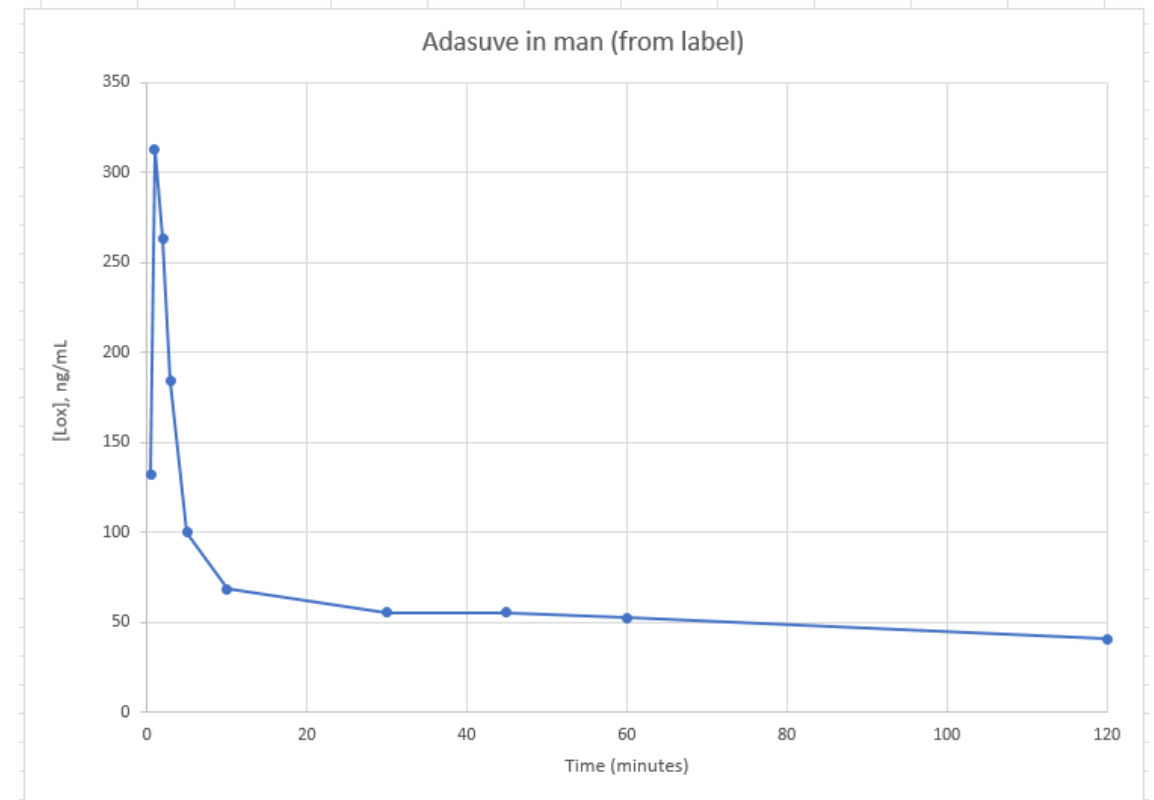
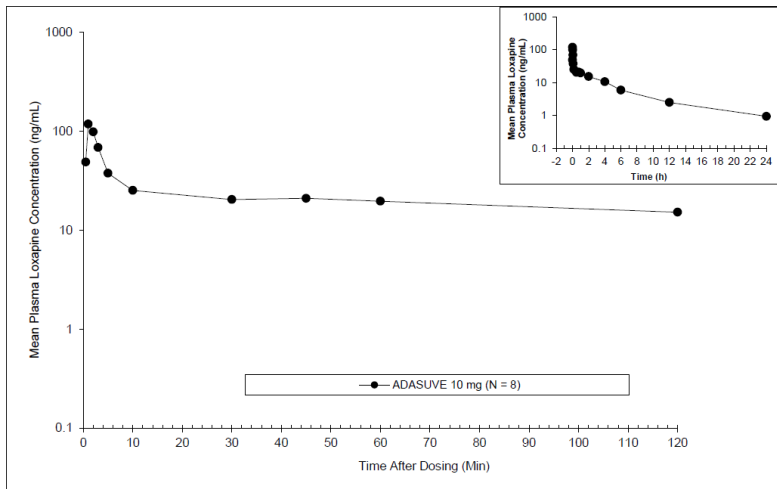
505(b)(2)



Adasuve



Figure 8. Mean Plasma Concentrations of Loxapine following Single-Dose Administration ADASUVE 10 mg in Healthy Subjects



A BE analysis requires two parameters – typically C_{max} and AUC

Adasuve

CENTER FOR DRUG EVALUATION AND
RESEARCH

APPLICATION NUMBER:
022549Orig1s000

CLINICAL PHARMACOLOGY AND
BIOPHARMACEUTICS REVIEW(S)

DID THE SUBMITTED STUDY 103 COMPARING CLINICAL VERSION 2 TO COMMERCIAL VERSION 1 MEET THE REGULATORY REQUIREMENTS TO BE CONSIDERED A BIOEQUIVALENCE STUDY?

No, BE analysis requires that at least two parameters be evaluated (i.e., AUC_{inf} and C_{max}). For study 103 C_{max} was not evaluated as a primary metric therefore, data from this study can only be used to determine equivalent exposure not true BE.

WHAT ARE THE PARAMETERS FOR DETERMINING EQUIVALENT EXPOSURE FOR THIS NDA?

The primary parameter for determination of equivalent exposure is AUC(0-2h). A secondary parameter would be AUC_{inf}.

WHY IS AUC(0-2hr) CONSIDERED THE PRIMARY METRIC FOR AGITATION?

Agitation is a condition that requires an immediate onset of clinical intervention. Therefore, based upon the desired Clinical response it was decided by the Division of Psychiatry Drug Products that early exposure within 2 hrs was most relevant. C_{max} was not expected to be Bioequivalent since it is a discrete variable that occurs within 2 min of drug administration, which makes it difficult to accurately measure. It was considered a secondary measure.

Spray Dried Formulations



Loxapine Succinate alone

| Test | Result | |
|--|---|--------------------|
| Assay | 98.4% | |
| Total Impurities | None detected | |
| Bulk Powder Particle Size Distribution (Laser Diffraction) | D10 (µm): | 3.97 |
| | D50 (µm): | 15.51 |
| | D90 (µm): | 26.98 |
| | Particles < 10.5 µm: | 26.7% |
| Aerodynamic Particle Size Distribution (10 mg fill, as loxapine) (ACI with 2L Expansion Chamber) | Impactor Size Mass (µg / % w/w) | 209.4 µg (2.2%) |
| Water Content (Karl Fischer titration) | 1.71% | |
| Single Actuation Content (As loxapine base, n=10) | Mean: 2.63 mg (88%) Range: 2.19 – 2.81 mg (73-94%) SD: 0.20 mg (7%) | |

Loxapine Succinate / HPMC / Mannitol

30% Loxapine Succinate, USP
30% HPMC (Pharmacoat 606)
40% Mannitol (Pearlitol 160C)

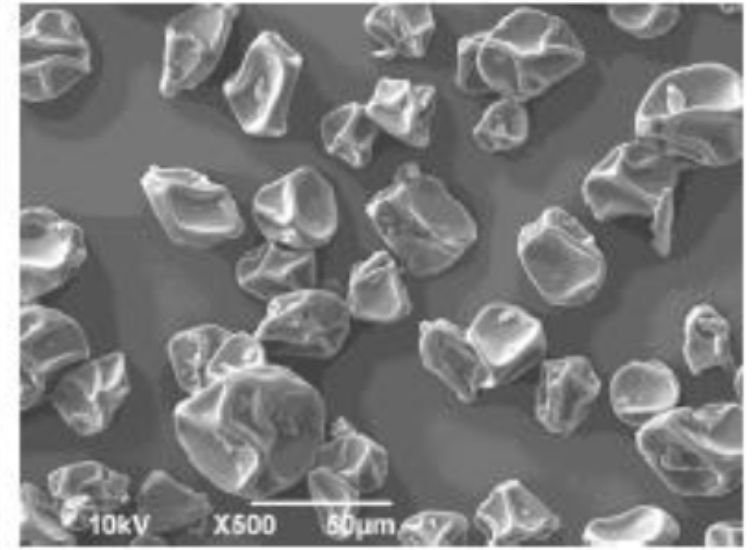
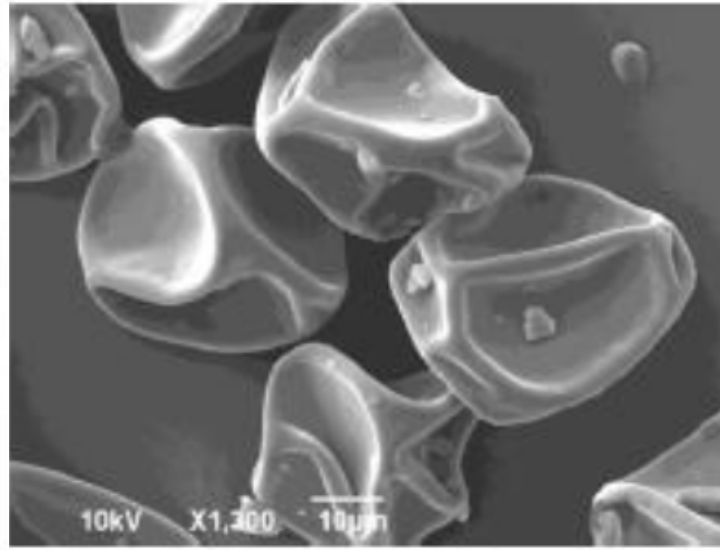
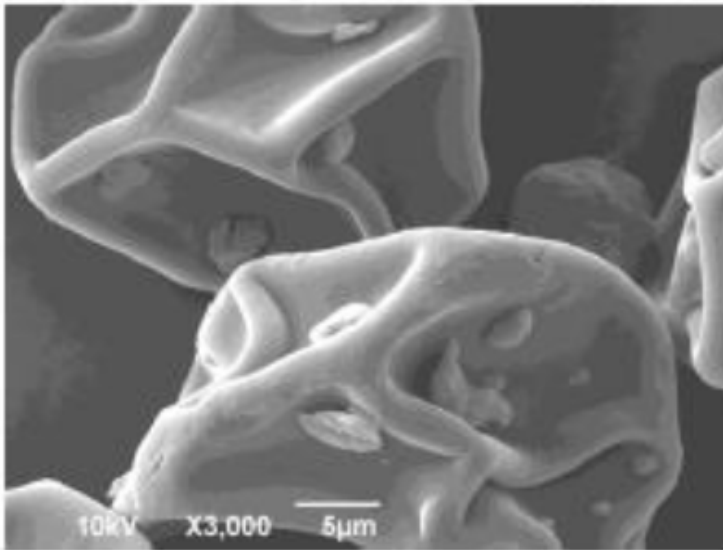
| Test | Result | |
|--|--|-------------------|
| Assay | 100.6% | |
| Total Impurities | None detected | |
| Bulk Powder Particle Size Distribution (Laser Diffraction) | D10 (µm): | 15.57 |
| | D50 (µm): | 24.39 |
| | D90 (µm): | 36.48 |
| | Particles < 10.5 µm: | 2.43% |
| Aerodynamic Particle Size Distribution (10 mg fill, as loxapine) (ACI with 2L Expansion Chamber) | Impactor Size Mass (µg / % w/w) | 18.9 µg (0.2%) |
| Water Content (Karl Fischer titration) | 2.08 | |
| Single Actuation Content (As loxapine base, n=10) | Mean: 2.86 mg (95%) Range: 2.70 – 2.99 mg (90-100%) SD: 0.08 mg (3%) | |

Loxapine Succinate / HPMC / Mannitol / CaCl₂

| |
|----------------------------------|
| 25.3% Loxapine Succinate, USP |
| 25.3% HPMC (Pharmacoat 606) |
| 33.9% Mannitol (Pearlitol 160C) |
| 15.5% Calcium Chloride Dihydrate |

| Test | Result | |
|---|------------------------------------|-----------------|
| Assay (RP-HPLC) | 98.2% | |
| Total Impurities (RP-HPLC) | None detected | |
| Residual Methanol (HS-GC) | 10.0 ppm | |
| Bulk Powder Particle Size Distribution (Laser Diffraction) | D10 (µm): | 15.82 |
| | D50 (µm): | 27.88 |
| | D90 (µm): | 41.03 |
| | Particles < 10.5 µm: | 6.03% |
| Aerodynamic Particle Size Distribution (ACI with 2L Expansion Chamber) | Impactor Size Mass (µg / % w/w) | 74 µg (0.7%) |
| Water Content (Karl Fischer titration) | 2.88% | |

Loxapine Succinate / HPMC / Mannitol



PK Data – Cynomolgus Monkeys



IV and Oral comparators

- 35% Propylene Glycol was selected based on review of several products for intravenous administration:
 - Ativan Injection (lorazepam injection, USP) - (40% propylene glycol, 9% ethylene glycol),
 - Zemplar (paricalcitol) injection (30%, propylene glycol, 20% alcohol),
 - **Amidate™ (etomidate) injection, USP (35% propylene glycol)**
- Formulated at 3 mg / ml for either oral or IV administration
 - Solution stability and resuspension homogeneity - acceptable

Table 6. Solution Stability and Resuspension Homogeneity Results - 35% Propylene Glycol

| | Initial Recovery (60-minute Avg) | Recovery after 24 hours at 25°C | Recover after 48 hours at 25°C |
|---------|-------------------------------------|------------------------------------|-----------------------------------|
| Average | 99.5% | 98.7% | 99.0% |
| RSD | 0.2% | 0.8% | 0.1% |
| Change | N/A | -0.8% | -0.5% |

- Filter qualification study - acceptable

Table 5. Filter Qualification Results

| | |
|--|---------------------------|
| 3 mg/mL loxapine in 35% propylene glycol | Loxapine Concentration |
| Before filtration (average of 60-minute time point) | 3.04 mg/mL |
| After filtration | 3.04 mg/mL |

Bioanalytical Method / Dosing NHPs



2. Extraction Method

1. Add 25.0 µL of working solution to 475 µL of plasma.
2. Add 50.0 µL of sample, standard, or QC.
3. Add 25.0 µL internal standard working solution (10.0 ng/mL Lox/8-OH; 5.00 ng/mL amox/7-OH) in dimethylformamide.
4. Precipitate with 250 µL acetonitrile + 0.1% formic acid.
5. Transfer 100 µL to a clean plate.
6. Reconstitute with 100 µL water + 0.1% formic acid.

3. Equipment

Mass Spectrometer: SCIEX API-6500
 HPLC Pumps: Shimadzu LC-40D
 HPLC Autosampler: Shimadzu SIL 40C

4. HPLC Conditions

Mobile Phase A: Acetonitrile + 0.1% formic acid
 Mobile Phase B: Water + 0.1% formic acid
 Rinse Solvent: 1:1 water:methanol
 Column: C18
 Flow Rate: 0.600 mL/min
 Injection Volume: 1.00 µL

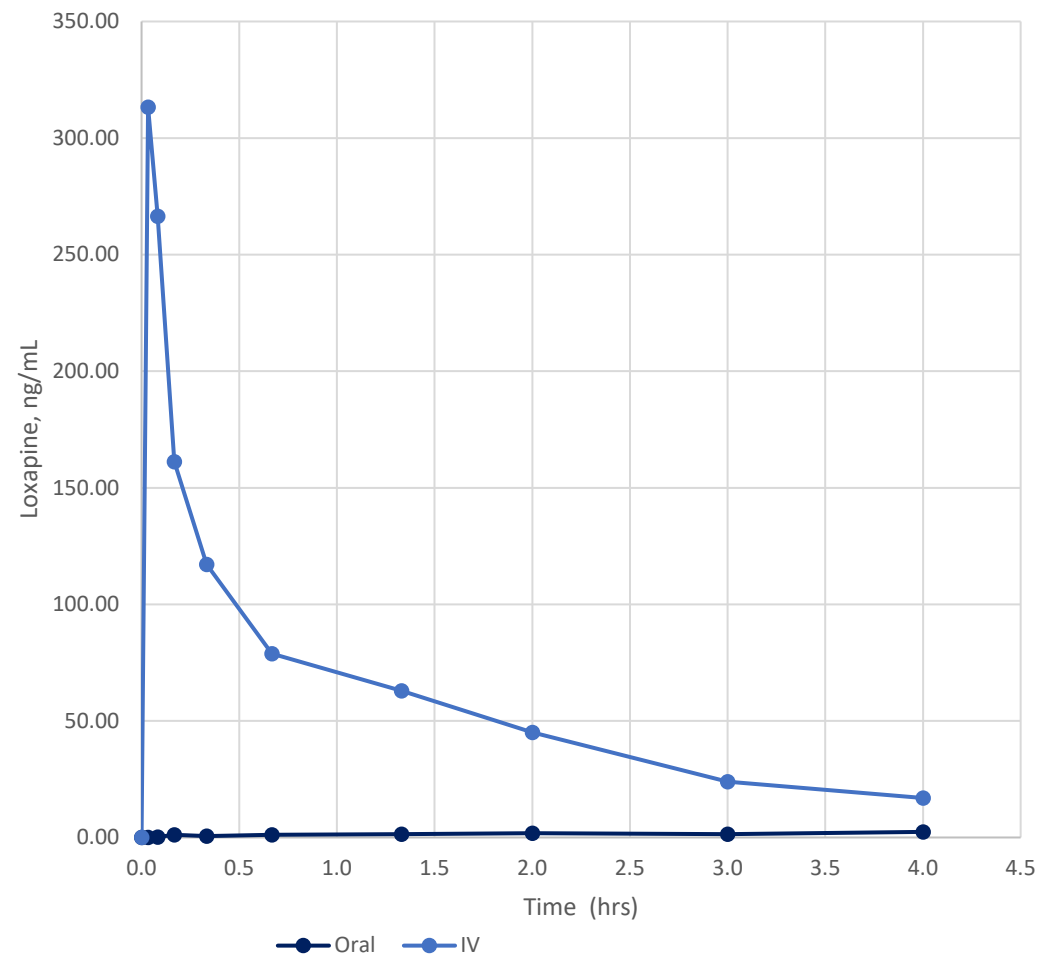
| Time | Module | Events | Parameter |
|------|-------------------|--------------|-----------|
| 2.0 | Pumps | Pump B Conc. | 22.0 |
| 3.5 | Pumps | Pump B Conc. | 35.0 |
| 4.0 | Pumps | Pump B Conc. | 95.0 |
| 4.5 | Pumps | Pump B Conc. | 95.0 |
| 4.8 | Pumps | Pump B Conc. | 35.0 |
| 5.5 | Pumps | Pump B Conc. | 35.0 |
| 5.9 | System Controller | STOP | 8.0 |



| Formulation | Route | Animals |
|--|-------|-------------|
| 35% Propylene Glycol | Oral | 4 NHP males |
| 35% Propylene Glycol | IV | 4 NHP males |
| Loxapine Alone | Nasal | 4 NHP males |
| Loxapine / HPMC / Mannitol | Nasal | 4 NHP males |
| Loxapine / HPMC / Mannitol / CaCl ₂ | Nasal | 4 NHP males |

IV versus Oral

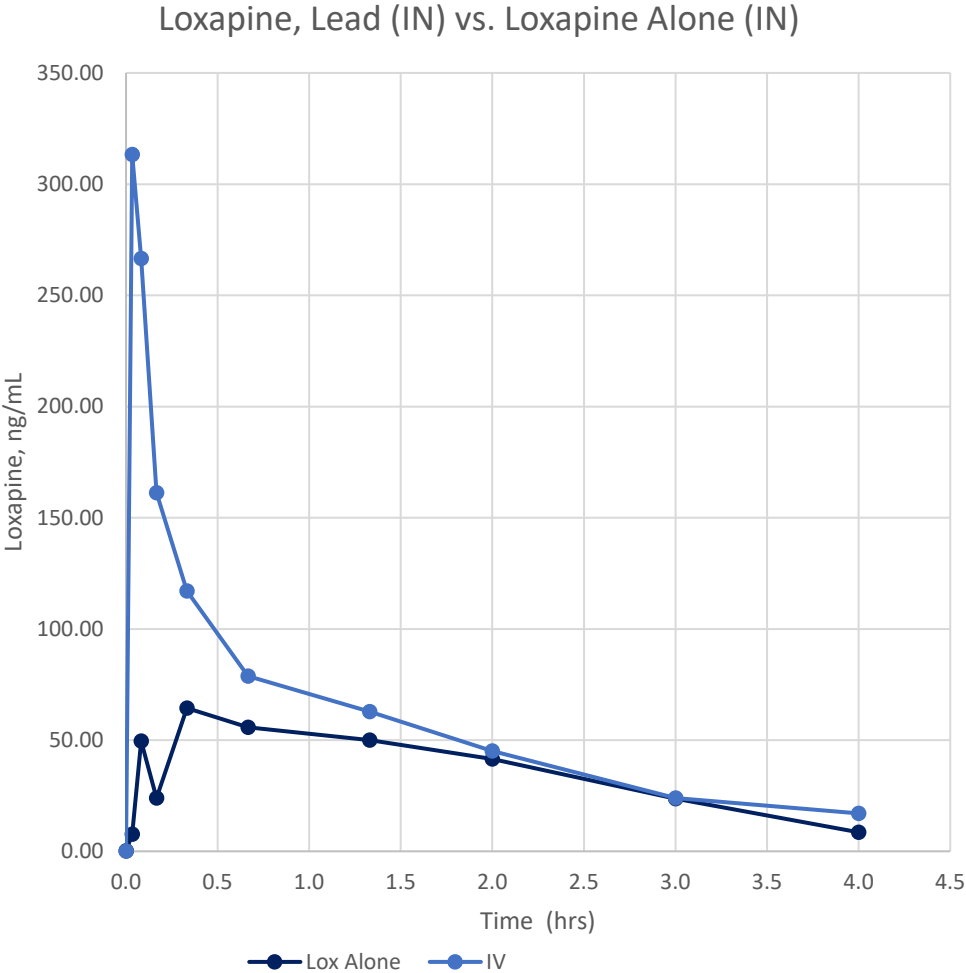
Loxapine, IV vs. Oral



| Parameter | Intravenous (IV) | Oral |
|-----------------------|------------------|-------|
| Cmax (ng/mL) | 364.0 | 3.3 |
| AUC (4 hr, ng/mL*h) | 231.5 | 5.9 |
| AUC (2 hr, ng/mL*h) | 176.6 | 2.4 |
| AUC (20 min, ng/mL*h) | 60.7 | 0.2 |
| Tmax (min) | 2.7 | 112.5 |
| Tlag (min) | 0 | 2.0 |
| Kel (h-1) | 0.49 | ND |
| T½ (h) | 1.43 | ND |

Very poor oral absorption

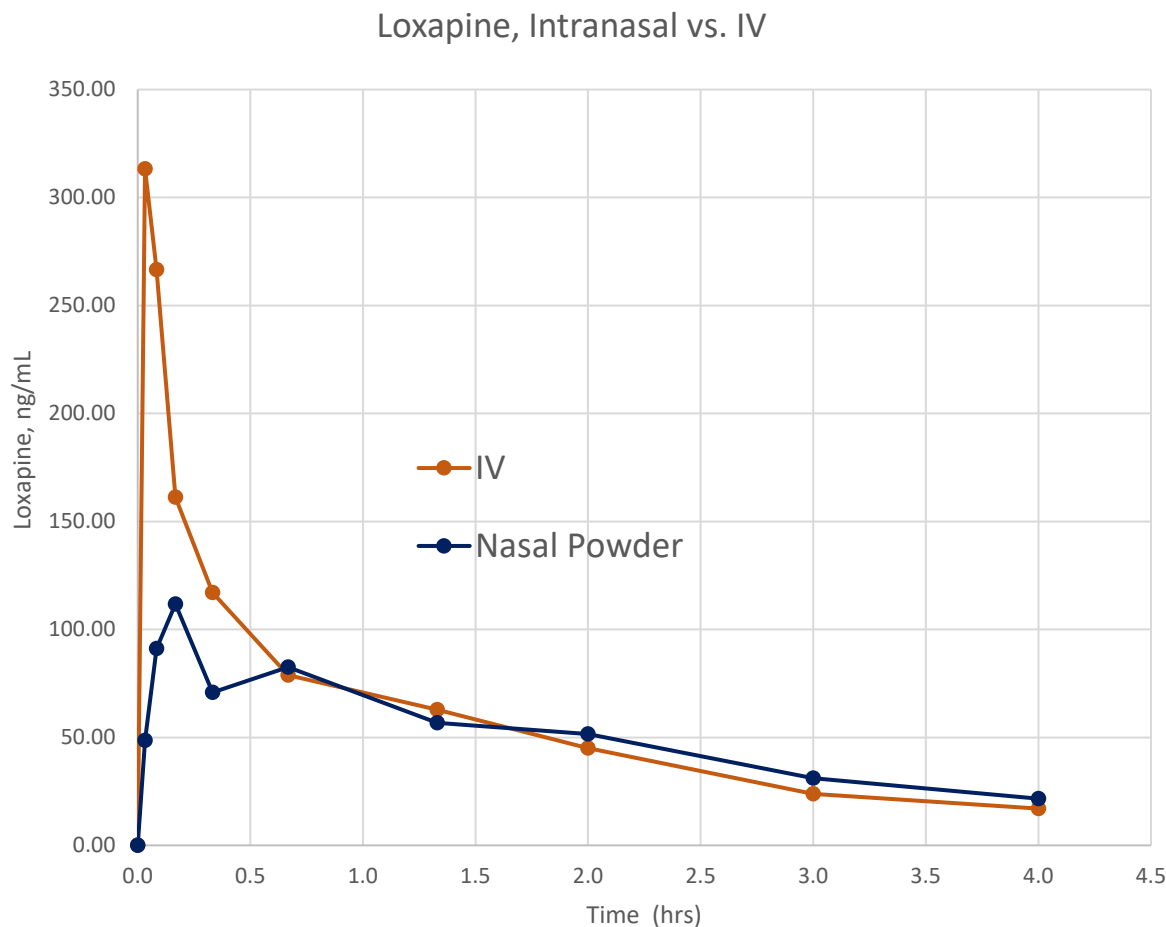
IV versus Spray Dried Loxapine Alone



| Parameter | Intravenous (IV) | Loxapine alone |
|-----------------------|------------------|----------------|
| Cmax (ng/mL) | 364.0 | 75.5 |
| AUC (4 hr, ng/mL*h) | 231.5 | 146.5 |
| AUC (2 hr, ng/mL*h) | 176.6 | 97.7 |
| AUC (20 min, ng/mL*h) | 60.7 | 12.0 |
| Tmax (min) | 2.7 | 39.9 |
| Tlag (min) | 0 | 0.0 |
| Kel (h-1) | 0.49 | 0.55 |
| T½ (h) | 1.43 | 1.25 |

55% AUC_{2hr} compared to IV

IV versus Spray Dried Loxapine / HPMC / Mannitol

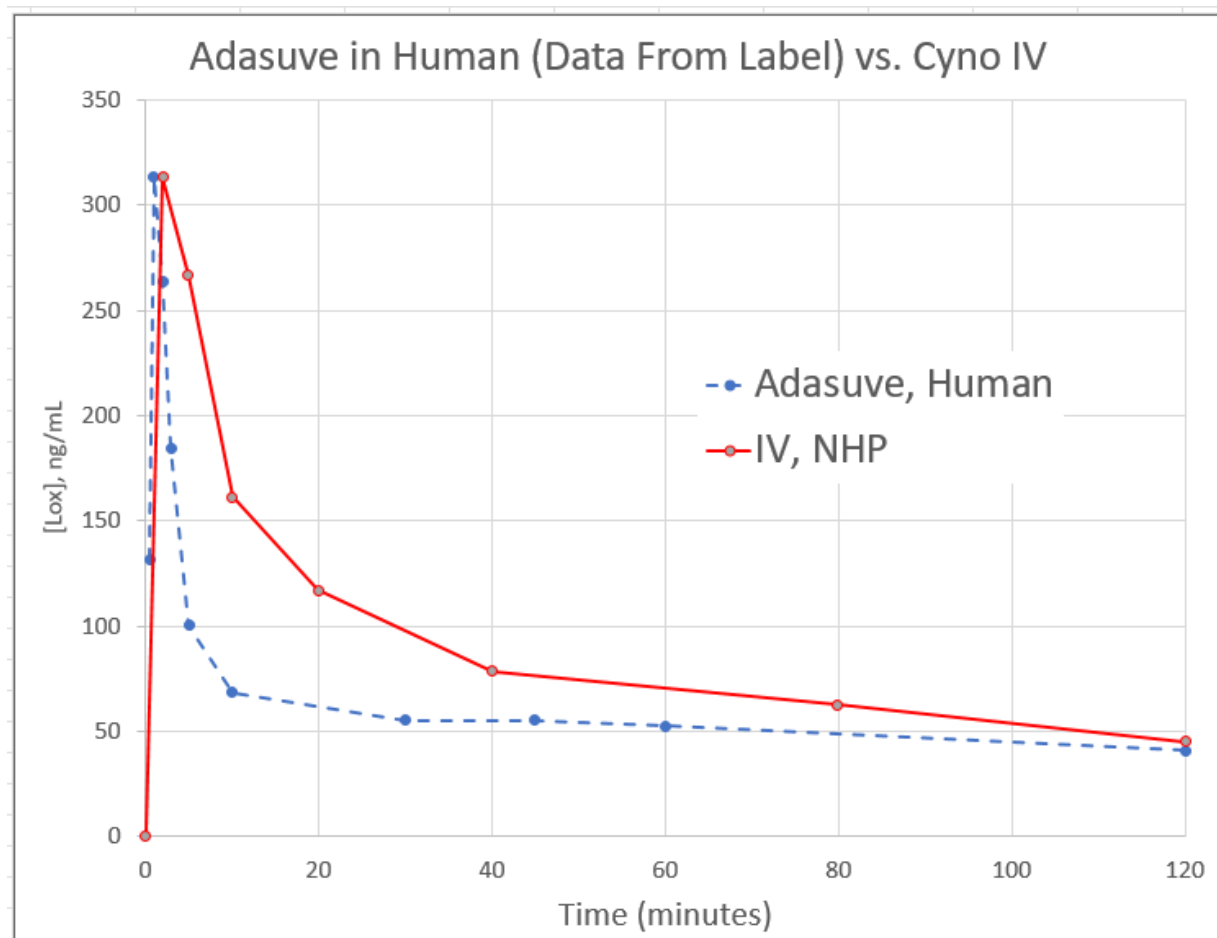


| Parameter | Intravenous (IV) | HPMC/Mannitol |
|-----------------------|------------------|---------------|
| Cmax (ng/mL) | 364.0 | 140.4 |
| AUC (4 hr, ng/mL*h) | 231.5 | 203.9 |
| AUC (2 hr, ng/mL*h) | 176.6 | 136.1 |
| AUC (20 min, ng/mL*h) | 60.7 | 28.0 |
| Tmax (min) | 2.7 | 8.8 |
| Tlag (min) | 0 | 2.0 |
| Kel (h-1) | 0.49 | 0.40 |
| T½ (h) | 1.43 | 1.75 |

77% AUC_{2hr} compared to IV

- Matches IV after 30 minutes
- IV in NHP – wider peak than Adasuve in humans
 - See next slide

How well does the NHP IV model the Adasuve in Humans?

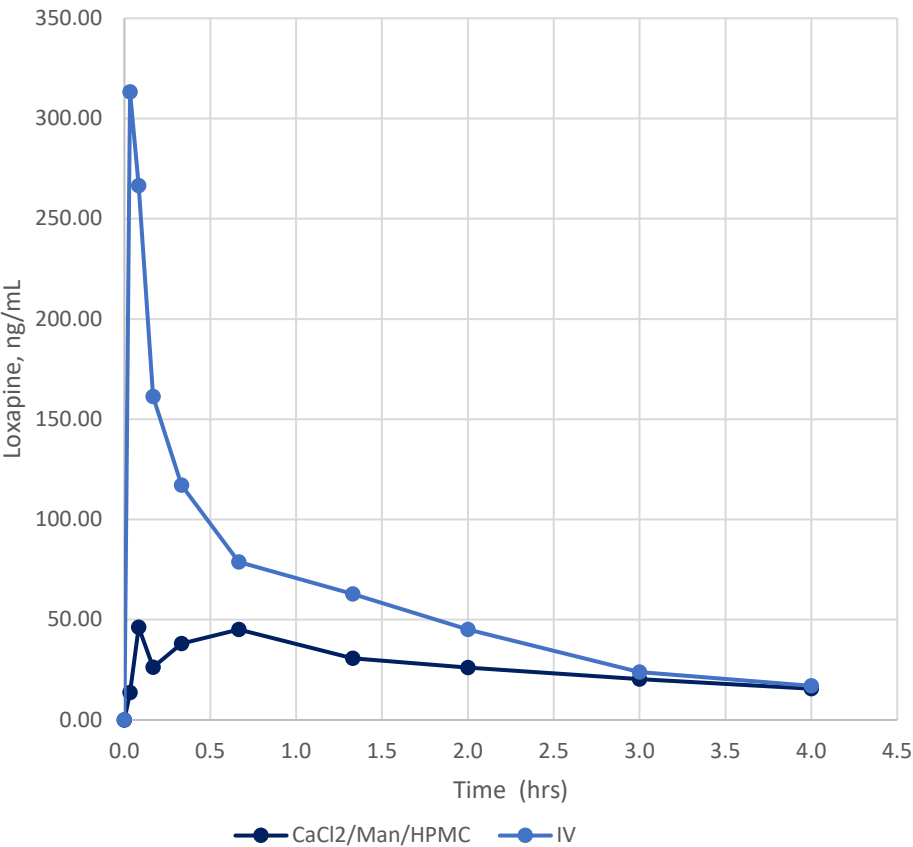


Adasuve results in a much sharper peak in humans than IV does in NHPs

- Could mean the Lox/HPMC/Man formulation would be even closer to Adasuve in humans

IV versus Spray Dried Loxapine / HPMC / Mannitol / CaCl₂

Loxapine, Lead (IN) vs. CaCl₂/Man/HPMC (IN)



| Parameter | Intravenous (IV) | HPMC/Mannitol (High Loxapine) |
|-----------------------|------------------|-------------------------------|
| Cmax (ng/mL) | 364.0 | 94.8 |
| AUC (4 hr, ng/mL*h) | 231.5 | 160.7 |
| AUC (2 hr, ng/mL*h) | 176.6 | 103.3 |
| AUC (20 min, ng/mL*h) | 60.7 | 19.2 |
| Tmax (min) | 2.7 | 40.0 |
| Tlag (min) | 0 | 2.0 |
| Kel (h-1) | 0.49 | 0.29 |
| T½ (h) | 1.43 | 2.39 |

- 58% AUC_{2hr} compared to IV
- Better dissolution
 - Lower bioavailability

Next Steps



Human PK study

- Ideally, 3-way cross over:
 - Adasuve (10 mg),
 - Loxapine nasal powder (10 mg)
 - Loxapine nasal powder (15 mg)
- Measure AUC_{2hr} , AUC_{inf}
- Interpolate to determine bioequivalent dose
 - Conduct full BE study
- CMC and toxicology data available

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